

Using Vegetation Management and LiDAR-Derived Tree Height Data to Improve Outage Predictions for Electric Utilities

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Abstract

1 We have generated a light detection and ranging (LiDAR) data product that provides a 1-
2 meter resolution measurement of vegetation that is tall enough to strike overhead distribution
3 powerlines, called “ProxPix”. These data, along with other vegetation management (e.g. tree
4 trimming) and infrastructure data were evaluated for their improvement an outage prediction
5 model over Eastern Connecticut during Hurricane Sandy. We found that models inputted with
6 infrastructure, vegetation management, ProxPix, performed better than simpler models; and that
7 the model forced with utility infrastructure and ProxPix had the best overall performance. The
8 ProxPix data created for this study have application to other research topics such as prioritizing
9 areas for vegetation management near utilities and providing data on potential tree threats to
10 roads or railways.

11

Keywords: Electric distribution network, severe weather, outage prediction model, vegetation management, resilience, LiDAR

12 **1. INTRODUCTION**

13 Connecticut has been subjected to prolonged power outages due to damage caused primarily
14 by the interaction of trees and overhead power lines during extraordinary storms (i.e. Storm Irene
15 and the October nor'easter in 2011, and Hurricane Sandy in 2012). Hurricane Sandy was
16 especially impactful to Eversource Energy (formerly Connecticut Light & Power), with
17 >500,000 customers without power and >15,000 outages (defined as individual locations
18 requiring the manual intervention of a utility restoration crew for repair) caused mostly by
19 branches or entire trees falling onto the overhead lines (1). Contributing to these high storm-
20 related outages, Connecticut has the highest wildland-urban interface in the United States (2)
21 with a majority of residents living under a rural or urban tree canopy.

22 Trees provide a host of benefits including: habitat for wildlife (3), shade that moderates
23 temperatures (4), and aesthetic benefits (5). Electric utility companies are tasked (6) with
24 managing these trees surrounding overhead lines to maintain acceptable reliability for customers
25 (e.g. limiting the number of interruptions and duration of outages). The management of trees and
26 other flora around overhead lines is known as vegetation management (VM); a multi-faceted
27 program of managing trees by trimming above, below and on the side of overhead lines; and the
28 management of vines and shrubs.

29 Despite the influence of vegetation management on electric reliability during storms,
30 there is limited information available on how VM affects distribution networks. Among these
31 studies, (7) showed that increasing trimming on distribution circuits could lead to decreased
32 outages on the Duke Power system in the Carolinas. Although multiple VM trimming strategies
33 exist (e.g. trim overhead lines every 2 – 7 years), (8) showed that an optimized vegetation

34 management program as a function of utility cost and customer cost could yield an improvement
35 in reliability (4 – 6%) and a reduction in total cost (9%). Other researchers (9) have investigated
36 including VM data and other weather and geographic data into outage prediction models and
37 found that the data could help the accuracy of these models.

38 Utilities typically track where VM has occurred on the overhead lines in a geographic
39 information system. Airborne Light Detection And Ranging (LiDAR) data complement VM data
40 by making it possible to develop accurate models of tree heights and locations over large areas.
41 Airborne LiDAR data have been used for more than a decade to model the heights of forest
42 canopies (10). Canopy height models (CHM) estimate forest canopy height at any given location
43 and make it possible to identify trees that are within striking distance of power lines. The
44 identification of these risk trees provides a direct physical basis for outage prediction models to
45 better incorporate the environmental conditions surrounding overhead power lines.

46 The objective of this paper is to evaluate the available data on trees and infrastructure for
47 their effect on improving hurricane outage model predictions. Specifically, we compare models
48 incorporating these datasets to more traditional models that incorporate only limited
49 environmental data. Given the temporal and spatial constraints of the data, we focus our paper
50 exclusively on damages during Hurricane Sandy (2012) in eastern Connecticut. This paper is
51 divided into six additional sections: Section 2 describes the study area; Section 3 describes the
52 data used in more detail; Section 4 describes the methodology and error metrics; Section 5
53 presents the results; Section 6 discusses the results; and Section 7 presents the conclusion and
54 future areas of research.

55 **2. STUDY AREA**

56 The study area is focused on eastern Connecticut (Figure 1) due to the availability of LiDAR
57 data over the region. Eastern Connecticut has a diverse landscape with a lowland coastal
58 southern region and a hilly northern region encompassing the Thames River valley. Population is
59 most heavily concentrated along the shoreline and along the Thames River valley. Eversource
60 Energy-Connecticut delivers power to nearly every town in eastern Connecticut except for three
61 which are served by municipal utilities.

62 **3. DATA**

63 The data used in this study (described below) were aggregated using a grid with 0.5x0.5 km
64 cell sizes; representing a total of 9,000 grid cells covering the study area. All datasets were
65 averaged within each grid cell. Weather simulation data were processed at 2 km spatial
66 resolution using the Weather Research and Forecasting (WRF) model (11), while all other
67 explanatory data were processed at the 0.5 km grid resolution. For this study, we used the
68 weather simulation and land cover data for Hurricane Sandy as described in (RW.ERROR -
69 Unable to find reference:256) - see this article for a full description of the simulation
70 methodology and validation of winds. Examples of variables from the weather simulation
71 include the maximum gust and wind at 10 m, the total accumulated precipitation, and the
72 duration of wind at 10 m above specific thresholds (i.e. 9, 13, and 18 m/s). To join the 2 km
73 weather data to the 0.5 km aggregated data, the centroid of each 0.5 km grid cell was joined to
74 the nearest 2 km centroid and assigned the corresponding data. See Table I for a description of
75 all variables included in the model.

76 **3.1 Utility Infrastructure**

77 We considered attributes of the conductors related to their circuit material (e.g. bare or
78 covered) and designation (e.g. backbone or lateral) to make the model more physically-
79 meaningful. Conductor material was deemed important because the overhead lines suffer from
80 different types of outages (i.e. incidental touching of trees for bare conductors, destruction of
81 conductors for bare and covered conductors). Circuit designation was included because backbone
82 circuits typically serve many more businesses and essential town functions (e.g. police, fire,
83 ambulance) than lateral circuits, and are expected to be more resilient due to enhanced VM
84 activities from 1994 - 2007 (Personal Communication, Sean Redding, Eversource Energy).

85 **3.2 Vegetation Management**

86 Using vegetation management annual planning data for years 2009 through 2012, we
87 calculated the percentages of overhead lines that received SMT and ETT treatment as a function
88 of conductor material and circuit designation for a given year in each 0.5 x 0.5 km grid cell. A
89 linear decay function (Equation 1) was applied to the SMT to express the diminishing benefit of
90 such treatment as time passes due to regrowth. A cumulative function (Equation 2) was applied
91 to ETT because the benefit of such trimming activities are thought to be longer lasting and more
92 effective than SMT (Personal Communication, Sean Redding, Eversource Energy).

93 In summary, for each conductor material and circuit designation, we calculate the SMT or ETT
94 value per grid cell as follows:

95
$$SMT\ Value = \sum_{j=2009}^{2012} SMT_j \cdot r \cdot (2012 - j) \quad (1)$$

96
$$ETT\ Value = \sum_{j=2009}^{2012} ETT_j \quad (2)$$

97 In the above two equations; SMT and ETT refer to the percentage of lines that were trimmed
98 during the year, respectively; the year is j ; the decay rate (25% per year) is r . Note that Sandy
99 occurred in 2012 and the earliest date for which trim data were available is 2009. Figure 2 shows
100 the distribution of trimming by type (ETT vs. SMT) across the entire study area from 2009 -
101 2012. Refer to Table I for a summary of the different variables related to vegetation
102 management.

103 Note that the Enhanced Tree Trimming (ETT) specification during 2009 – 2012 along the
104 roadside differed for backbone and lateral circuits. Regarding ETT roadside clearance for lateral
105 circuits, the clearance zone from the conductor was 8 feet to the side, 20 feet overhead and cut
106 brush flat to the ground; for backbone circuits, the clearance zone from the conductor was clear
107 overhead, 8 feet to the side, and brush was cut to the ground. Exceptions to these specifications
108 could be granted in some cases at the request of a tree owner or town (Personal Communication,
109 Sean Redding, Eversource Energy). Comparatively, the Standard Maintenance Trimming (SMT)
110 specification during 2009 -2012 was less intensive. The roadside SMT clearance for lateral
111 circuits was a minimum of 8 feet to the side, 15 feet overhead, 10 feet below clearance within
112 reach of a 55 foot lift unit; for backbone circuits, trimming must re-clear to previous overhead
113 clearances within reach of a 70 foot lift unit.

114 3.3 LiDAR-Derived Tree Height Information

115 Airborne LiDAR data were acquired for nearly 4600 km² in eastern Connecticut from
116 November 3 – December 11, 2010 (12) (Figure 1). The data were collected with a Leica ALS60
117 Airborne Laser Scanner at an altitude of approximately 2,000 meters above ground level. At this
118 altitude, the ALS60's beam divergence of 0.22 millirads creates a footprint of roughly 44 cm on

119 the ground. The scanner's pulse rate was 117.9 kHz and the pulse wavelength was 1064 nm. The
120 flight line overlap was 50% and the data provider eliminated data between the geometrically
121 usable portions of the swaths. The maximum scan angle of the sensor was 16.5° from nadir and it
122 recorded up to 4 returns per laser pulse. The dataset has an overall density of 1.56 returns / m²
123 with a maximum point spacing of 0.7 meters, excluding water bodies. The horizontal accuracy of
124 the dataset is equal to or better than 1 meter RMSE. The project's principle contractor processed
125 the LiDAR data to create a bare-earth digital elevation model (DEM), at a 1-meter resolution,
126 with building features removed. Dewberry (12) evaluated the accuracy of the DEM using 62
127 surveyed ground control points distributed through non-vegetated, grass, and forested terrains.
128 The vertical RMSE for the DEM, based on ground control points, was estimated at 5 cm in non-
129 vegetated terrain, 17 cm for grassy terrain, and 21 cm in forest terrain. The primary purpose of
130 the LiDAR dataset was to develop the bare-earth DEM for use in conservation planning,
131 floodplain mapping, dam safety assessments, and hydrological modeling (12).

132 *3.3.1 Canopy Height Model*

133 A canopy height model, based on airborne LiDAR, was created following the methods
134 described in (13). The CHM was created by subtracting the bare-earth DEM, created by
135 Dewberry (12), from a digital surface model (DSM) that we created from the LiDAR data. The
136 DSM corresponded to the maximum elevations in the tree canopy and was aligned to the DEM
137 grid and had the same 1-meter resolution. The cell values for the DSM were determined by
138 taking the maximum of all non-ground first-return points within a given cell. Because the overall
139 density of the LiDAR dataset was 1.56 returns / m², the majority of non-water pixels in the DSM
140 grid contained at least one first-return point. The first-returns were filtered to remove points that
141 obviously did not correspond to features on the earth's surface (e.g. large birds in flight). These

142 anomalous points were identified by comparing each first-return to all points within a 2.5 meter
143 radius. Points were discarded if they were more than 30 meters taller than any other points within
144 the neighborhood. We selected the 30-meter threshold because it approximates the upper limit of
145 canopy heights in northeastern forests and thus it represented a reasonable maximum elevation
146 difference for points along forest gaps and edges. Considering the point spacing of our airborne
147 LiDAR data, we assumed that continuous data gaps larger than 3 meters in radius were likely to
148 correspond to water, which tends to absorb LiDAR energy (14). The bare-earth DEM values
149 were assigned to the cells in these larger data gaps that were presumed to correspond to water
150 bodies. A test of 52 1x1 km sample areas showed that only 12.9%, on average (std. dev. = 3.4),
151 of the areas consisted of data gaps for which there was no first-return data. More than 91% of
152 these gaps were less than 1 meter in radius; approximately 7% of the gaps were 1-2 meters in
153 radius; and approximately 1% of the gaps were 2-3 meters in radius. Thus, we interpolated the
154 values for cells in the DSM data gaps, smaller than 3 meters in radius, by taking the median of
155 the known values in the cells' eight nearest neighbors. Cells with fewer than three known nearest
156 neighbors were filled using a 2nd or 3rd interpolation pass.

157 ***3.3.2 Proximal Tree Pixels***

158 Previous studies have suggested that mapping of individual tree crowns requires LiDAR data
159 with a spatial resolution of at least 4-8 pts / m² (15, 16). Because the resolution of our data (<2
160 pts/m²) was insufficient for mapping crowns, we used “proximity tree pixels” as a surrogate for
161 risk trees. We define proximity tree pixels (ProxPix) as 1 m pixels in a canopy height model
162 (CHM) that are tall enough and close enough to contact a power line in the event of a whole or
163 partial tree failure. Multiple ProxPix can correspond to a single tree; however, intuitively, we
164 expected a high correlation between the number of ProxPix and number of trees corresponding to

165 those ProxPix. ProxPix were identified from the canopy height model as pixels with a height
166 larger than the distance from the pixel at ground-level to a point 10 m above ground at the
167 location of the nearest power line (Figure 3). The ground-level for a pixel was determined from
168 the bare-earth DEM; 10 meters was assumed to be the height of the primary lines above ground
169 level.

170 The power line dataset had a median horizontal position error of 11.8 meters (std. dev. =
171 9.9m). Therefore, we created a 15 m buffer around the reported power line locations and mapped
172 ProxPix treating the buffer zone as the power line. ProxPix were extracted throughout the study
173 area (Figure 1) and aggregated into counts for the 0.5 km grid. The counts were then normalized
174 by total overhead line length (resulting in ProxPix/km) for use in the models.

175 **4 METHODOLOGY**

176 **4.1 Model Forcing Complexities**

177 To ensure that predictors were not contributing unnecessary complexity in the model
178 structure, five model forcing complexities (Table I) were evaluated to investigate the added value
179 to the outage prediction model of incorporating: (a) vegetation management, (b) the LiDAR-
180 derived proximal tree pixel dataset and (c) detailed infrastructure. The baseline model (Model 1)
181 was used for comparison to the more complex models and consisted of a full set of weather
182 variables, land cover, and the count of isolating devices per grid cell to represent the overhead
183 infrastructure. This model is most similar to those in (RW.ERROR - Unable to find
184 reference:256) and (17). Model 2 builds upon the baseline model with the addition of the circuit
185 material and designation data, as well as land cover data. Model 3 builds upon Model 2 with the
186 addition of vegetation management data. Model 4 also builds upon Model 2 with the addition of

187 ProxPix data. Model 5 is the most comprehensive, including detailed infrastructure, vegetation
188 management, and ProxPix data. To compare the benefit of ProxPix and land cover, Models 1 – 3
189 used land cover data to represent the local tree conditions while Models 4 and 5 used ProxPix as
190 an alternative to land cover.

191 **4.2 Description of Random Forest Algorithm**

192 The statistical software program R (18) was used to complete all modeling and analyses. The
193 R package “randomForest” (19) was used to predict the binary response variable. We selected
194 the random forest model due to its efficiency and satisfactory performance in previous literature
195 that predicted hurricane damages (RW.ERROR - Unable to find reference:256) and outages (9).
196 Random forest (20) is an extension of the classification and regression tree (“decision tree”)
197 model (21); whereas a decision tree makes a series of logical “if-then” statements from a single
198 pass through the training partition, the random forest uses a random subset of the training data
199 and a random subset of explanatory variables to fit multiple decision trees (20). The predictions
200 from all of the decision trees are referred to as the “forest” – the average of the forest predictions
201 are used as the final prediction.

202 **4.3 Binary Response Variable and Model Evaluation**

203 Outages are defined as locations that require a restoration crew to manually intervene to
204 restore power. Of the 9,000 grid cell in the 0.5 km spatial domain, 1,320 had one outage, 440 had
205 more than 1 outage, and no grid cell had more than eight outages. Because of this distribution,
206 we used binary response models with a balanced sampling approach to investigate the accuracy
207 of outage predictions. An indicator of “1” was assigned if an outage occurred in the grid cell,
208 otherwise a “0” was assigned. Grid cells without an outage (n=7,240) are referred to as the
209 majority class, grid cells with an outage (n=1,760) are referred to as the minority class. The

210 balanced random forest (BRF) algorithm proposed by (22) consists of down-sampling the
211 majority class to learn from imbalanced by fitting a single model. In order to maximize the
212 information of the majority class, we repeated the BRF algorithm 10,000 times and compared the
213 error metrics for each iteration. This approach resulted in a distribution of the error metrics for
214 each of the five model complexities we investigated. For each of the 10,000 iterations, the model
215 complexity with the most improved error metrics was selected as the “winner”. The frequency of
216 how many times each model was selected the “winner”, based on the error metrics, is
217 summarized in Table II.

218 **4.4 Error Metrics**

219 The R package “SDMTools” (23) was used to calculate various contingency table metrics to
220 describe the model performance more completely. Specifically, we used the following metrics
221 for model comparison: area under the curve (AUC), false omission rate (FOR), true positive rate
222 (TPR), true negative rate (TNR), proportion correct (PC), and Cohen’s kappa (K). For the
223 following equations (Eq. 4 through 7); TP (true positive) refers to the counts of true positives;
224 TN (true negative) refers to the counts of true negatives; FP (false positives) refers to the counts
225 of false positives; and FN (false negatives) refers to the counts of false negatives.

$$226 \quad FOR = \frac{FN}{FN+TN} \quad (4)$$

$$227 \quad TPR = \frac{TP}{TP+FN} \quad (5)$$

$$228 \quad TNR = \frac{TN}{TN+FP} \quad (6)$$

229
$$PC = \frac{TP+TN}{TP+TN+FP+FN} \quad (7)$$

230
$$K = \frac{2((TP*TN)-(FP*FN))}{(TP+FN)(FN+TN)+(TP+FP)(FP+TN)} \quad (8)$$

231 Each of the metrics we evaluated has desirable properties that describe the model
 232 performance. The area under the Receiver Operating Characteristic curve (AUC) is an accuracy
 233 metric that shows the model discrimination (the ability to correctly identify true negatives
 234 positives); an AUC of 1 represents a perfect prediction whereas an AUC of 0.5 or less represents
 235 a test that is no better than chance. The false omission rate (Equation 4) is the proportion of false
 236 negatives given the test outcome was negative; a FOR of 1 indicates that all predictions for
 237 negatives were false whereas a FOR of 0 indicates that there were no false negative. The true
 238 positive rate (TPR), also known as sensitivity, is how many times TP was correctly predicted
 239 given a positive reading; a TPR of 1 indicates a model is perfect at predicting true positives, a
 240 TPR of 0 indicates a model that is incapable of predicting true positives. The true negative rate
 241 (TNR), also known as specificity, is a measure of how many times true negatives are actually
 242 predicted; a TNR of 1 indicates a model is perfect at predicting where damage will not occur, a
 243 TNR of 0 indicates a model that fails to predict where outages will not occur. The proportion
 244 correct (PC) is a measure of how many observations are correctly identified; a PC of 1 indicates
 245 a perfect predictor, a PC of 0 indicates a predictor that fails to predict where actual outages
 246 occur. Cohen's kappa statistic (K), also known as Heidke Skill Score, is a measure of agreement
 247 between categorical variables based on the proportion correct. A K of 1 indicates a perfect
 248 prediction, and zero or negative values can happen when forecasts are equal to or worse than the
 249 reference.

250 **5 RESULTS**

251 The error metrics of Model 1 were used as baseline to compare subsequent models with
252 increasingly complex model forcings (Models 2 through 5). As previously mentioned, the
253 validation strategy used repeated balanced sampling (RBS) with 10,000 iterations to select which
254 model performed the best for each of the five models . The frequency of how many times each
255 model was selected the “winner”, based on the error metrics, is summarized in Table II. Models
256 2 – 5 were selected more frequently for most error metrics than the baseline model (Model 1).
257 Model 4 was the most frequently selected for AUC, TNR, PC, and K error metrics, while Model
258 5 was the most frequently selected for TPR and FOR. Although Model 5 was selected most
259 frequently for these two metrics, Model 4 was the second most selected model. Under the
260 validation strategy we used to compare the five model forcing complexities, Model 4 proved to
261 be the best model for the following error metrics: AUC, TNR, PC and K (Tables 2 and 3).
262 Although Model 4 was “better” (more frequently selected) than Model 1 for TNR, it was only
263 marginally better, which may indicate that a simpler model forcing complexity may yield better
264 predictions of the true negatives. The next best model was Model 5, the most complex model,
265 and was most frequently selected for two error metrics (FOR and TPR). To compare model
266 performance, each model was ranked (1 – 5) across all error metrics based on frequency (where
267 1 is considered the most frequent and 5 is least frequent). The average of these individual error
268 metric rankings was computed to select the best overall model. Overall, Model 4 was the best
269 model followed by Models 5, 3 and 2 (Table 4). From a physical perspective, the superiority of
270 Model 4 is attributed to the model forcing complexity that included ProxPix, which in turn gave
271 the best representation of local tree risk and more accurate outage predictions (this will be
272 discussed further in Section 7).

273 The kernel density plots in Figure 5 show the improved performance of Model 4 compared to
274 the Model 1 (baseline model) for all error metrics. The value of AUC, TPR, TNR and PC ranged
275 between 0.58 - 0.72; while K values varied between 0.19 – 0.43. The maximum improvement for
276 each metric between Models 1 and 4 was 6% for AUC, 5% for FOR, 9% for TPR, 7% for TNR,
277 6% for PC, and 13% for K. However, the average improvement of Model 4 compared to Model 1
278 was 1% for AUC, 2% for FOR, 2% for TPR, 1% for PC, 2% for K and no improvement on
279 average for TNR. Figure 5 shows a clear separation between the kernel density plots for each
280 category except for TNR where the distribution of values nearly overlapped.

281 We now focus on the results of Model 5, as it includes the interaction of all variables of
282 interest related to weather, infrastructure and tree conditions. The variable importance plot in
283 Figure 6 details the degree to which a specific covariate contributed to Model 5 as measured by
284 the mean decrease in the Gini coefficient. The three most important variables were the total
285 length of overhead lines, the sum of the assets, and the ProxPix normalized by overhead line
286 length. Also among the top ten most important variables were the storm accumulated
287 precipitation, the mean and maximum gust, and the precipitation rate. Figure 7 shows partial
288 dependence plots, which details the dependence between the response variable and a specific
289 covariate, marginalizing over the values of all other variables. The covariate of interest is plotted
290 on the x axis, while the y axis provides the response variable (in this case, it is the logit of the
291 predicted outages). Variables that had a contribution to decreased predicted outages included the
292 total overhead length and sum of assets. In contrast, increased mean gust and wind at 10 m
293 contributed to increased predicted outages. On backbone lines, ETT and SMT on backbone bare
294 and covered lines showed a decrease in predicted outages, while lateral lines have a mixed
295 response depending on conductor material and circuit type.

296 **6 DISCUSSION**

297 We have evaluated the error metrics of five model forcing complexities related to
298 infrastructure and local tree conditions, and investigated the improvement of each complexity in
299 an outage prediction model with a binary target variable. The inclusion of additional variables
300 related to the distribution network infrastructure and local tree risk data (ProxPix) resulted in
301 improved error metrics and model performance. A comparison of Model 1 and Model 2 shows
302 that the model with additional infrastructure data on circuit material and designation performed
303 better than the reduced infrastructure model. A comparison of Model 2 and Model 3 suggests
304 that VM data and infrastructure data can be a decent substitute for tree canopy height data.
305 Models that combine tree height and detailed infrastructure data would yield the best
306 performance.

307 Our results are consistent with other papers in the literature that show that incorporating
308 additional data on infrastructure and environmental conditions can yield at improved outage
309 predictions (read below for further explanation). In particular, this current study supports our
310 previous study (RW.ERROR - Unable to find reference:256), which showed that including
311 additional information on land cover and infrastructure can be used to improve the spatial
312 accuracy of outage predictions during hurricanes.

313 Many recent outage modeling papers that use a grid-averaged approach predict the count of
314 outages per grid cell rather than modeling the probability of an outage occurring (9, 24-26).
315 Despite difference in response variable type, grid cell resolution, geographic region, storms and
316 model forcing, we can still draw comparisons to other papers on the influence of certain
317 variables contributing to the predicted outages. Nateghi et al. (9) presented partial dependence
318 plots that demonstrate how VM contributed to increased predicted hurricane outages in two Gulf

319 region states, and also showed that the mean absolute error per grid cell increased 43 – 53%
320 when outage models were fit without VM data. We build on these results and show that VM can
321 contribute to either an increase or decrease in predicted outages depending on the infrastructure
322 attributes. Specifically, the increase of ETT and SMT on backbone lines contributes to lower
323 predicted outages regardless of conductor material; while the pattern varied by treatment,
324 conductor material and circuit designation for lateral lines (Figure 7).

325 The benefits of VM during non-storm conditions in the Duke Power System (Carolinas) was
326 previously modeled by (7), who showed that going from a four year to a three year trimming
327 cycle would eliminate 0.9 outages per circuit over a 43 month period. We are unable to make the
328 conclusion that VM reduces hurricane outages because our paper is limited by a single region
329 and storm. However, seeing change in predicted outages as a function of conductor material and
330 circuit type is promising (Figure 7), and provides motivation to incorporate additional data on the
331 overhead infrastructure and local tree conditions in future studies. In addition, building on the
332 previous work by (27) who showed how storm attributes would contribute to longer restoration
333 times, we believe ProxPix may be able to enhance outage duration predictions by providing
334 information on local tree conditions which may be correlated with damage severity (i.e. broken
335 wire vs. broken pole). As time progresses, we hope to extend our methodology to analyze how
336 VM, ProxPix and infrastructure contribute to outage predictions from more frequently occurring
337 storms (e.g. thunderstorms and nor'easters)

338 **CONCLUSION**

339 We have presented a study that shows that the inclusion of data related to localized tree
340 conditions (ProxPix and vegetation management) and utility infrastructure can improve outage

341 prediction models with a binary response variable. We found that more complex models with
342 vegetation management, ProxPix, and infrastructure performed better than the simple baseline
343 model; and that the model forced with utility infrastructure and ProxPix had the best overall
344 performance. The ProxPix data created for this study have application to other research topics
345 such as prioritizing areas for vegetation management near utilities and providing data on
346 potential tree threats to roads or railways. Guikema et al. (28) previously showed how an outage
347 model calibrated with publicly available data in the Gulf region could be applied to show the
348 impact of historic storms in different geographic regions. This approach could over- or
349 underestimate outages as each electric utility has different utility infrastructure and vegetation
350 conditions, which are not well represented by land cover data alone. With the use of LiDAR, the
351 methodology in (28) could potentially benefit from the quantitative measurement of tree
352 conditions along roadsides across all utilities and be used to enhance these models. In addition,
353 given that the majority of overhead lines follow roadways, LiDAR-derived tree height data near
354 utility lines could also be used for determining which roads might be most vulnerable to downed
355 trees.

356

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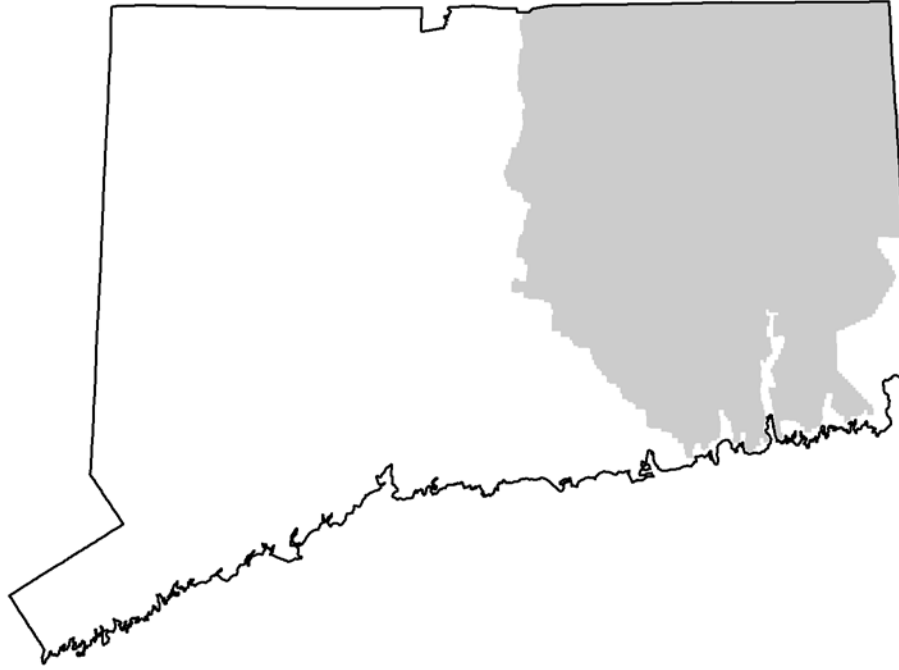
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478 **Figure 1:** Distribution of the 4600 km² LiDAR data in eastern CT over the study area.

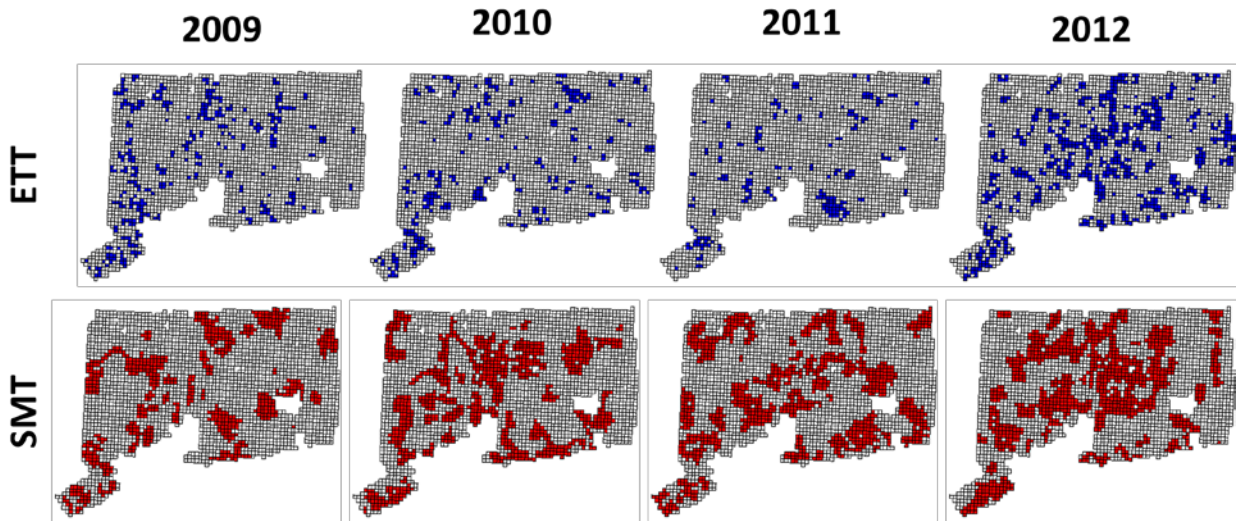
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485 **Figure 2:** Comparison of grid cells across Eversource CT service territory. Grid cells with color
 486 represent that trimming occurred (does not reflect how intense the trimming was).

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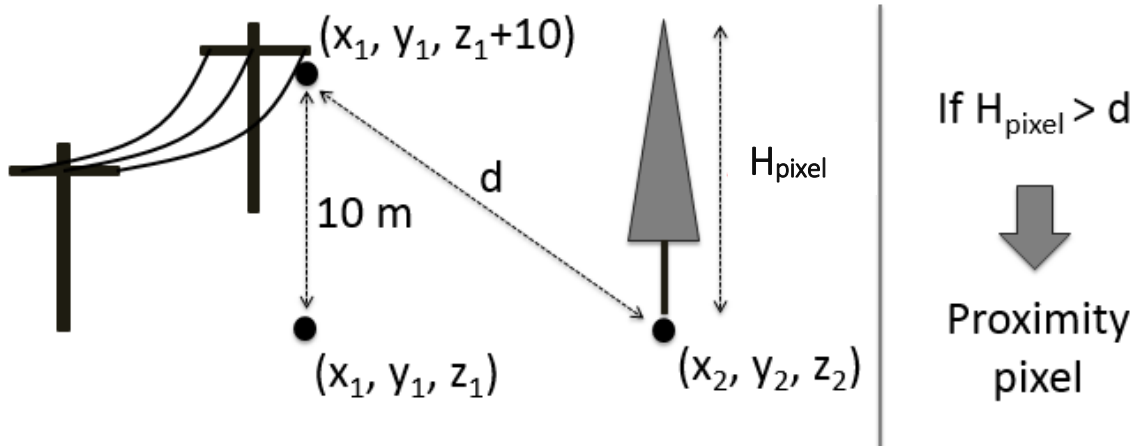
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500 **Figure 3:** Identifying proximity pixels in the canopy height model based on pixel height and
501 distance to power lines.

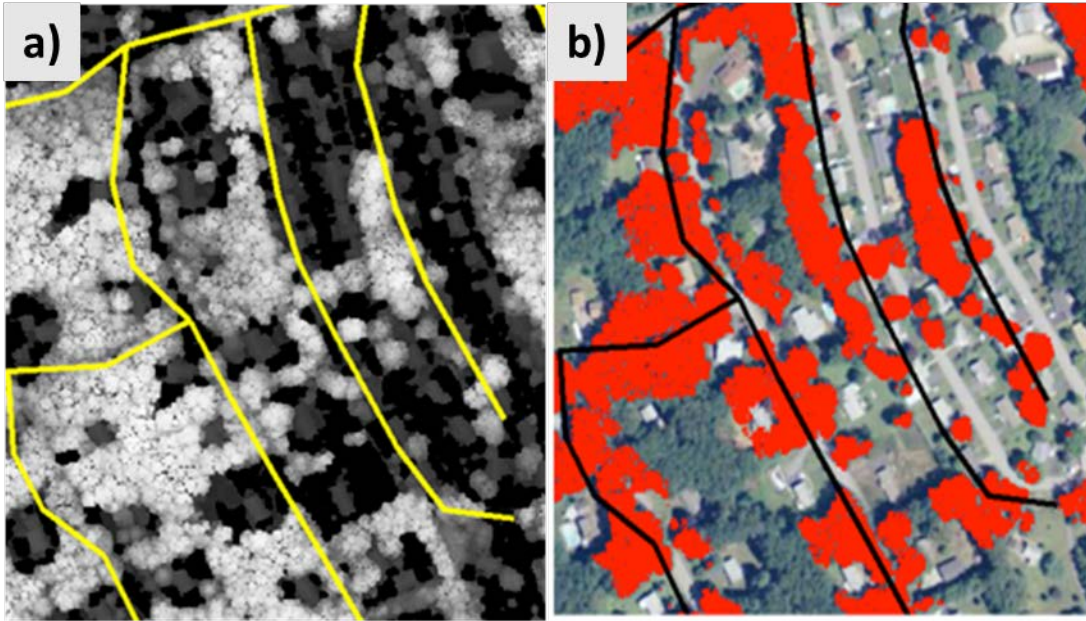
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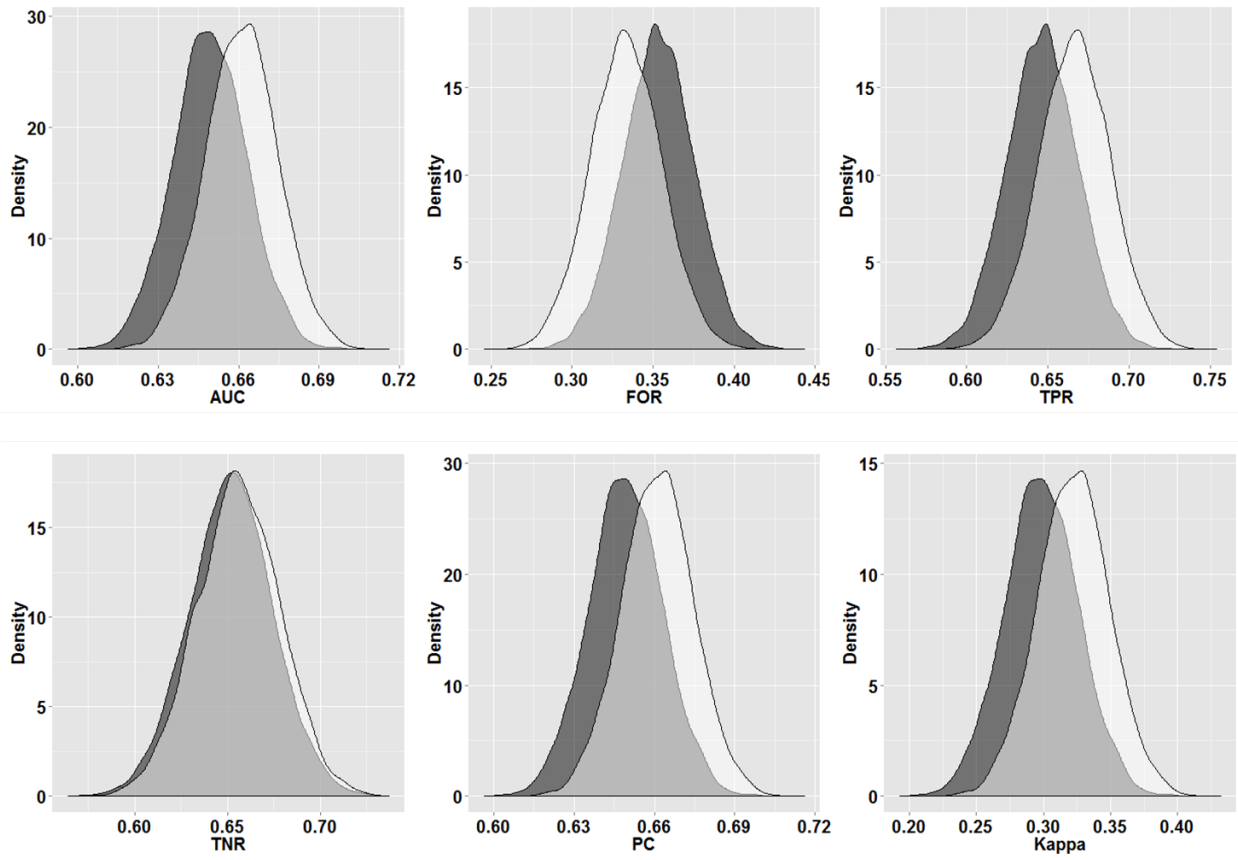
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508 **Figure 4:** (a) Canopy height model with 1 m spatial resolution based on LiDAR data, lighter
509 colors indicate taller features; (b) model of ProxPix (red) near power lines.

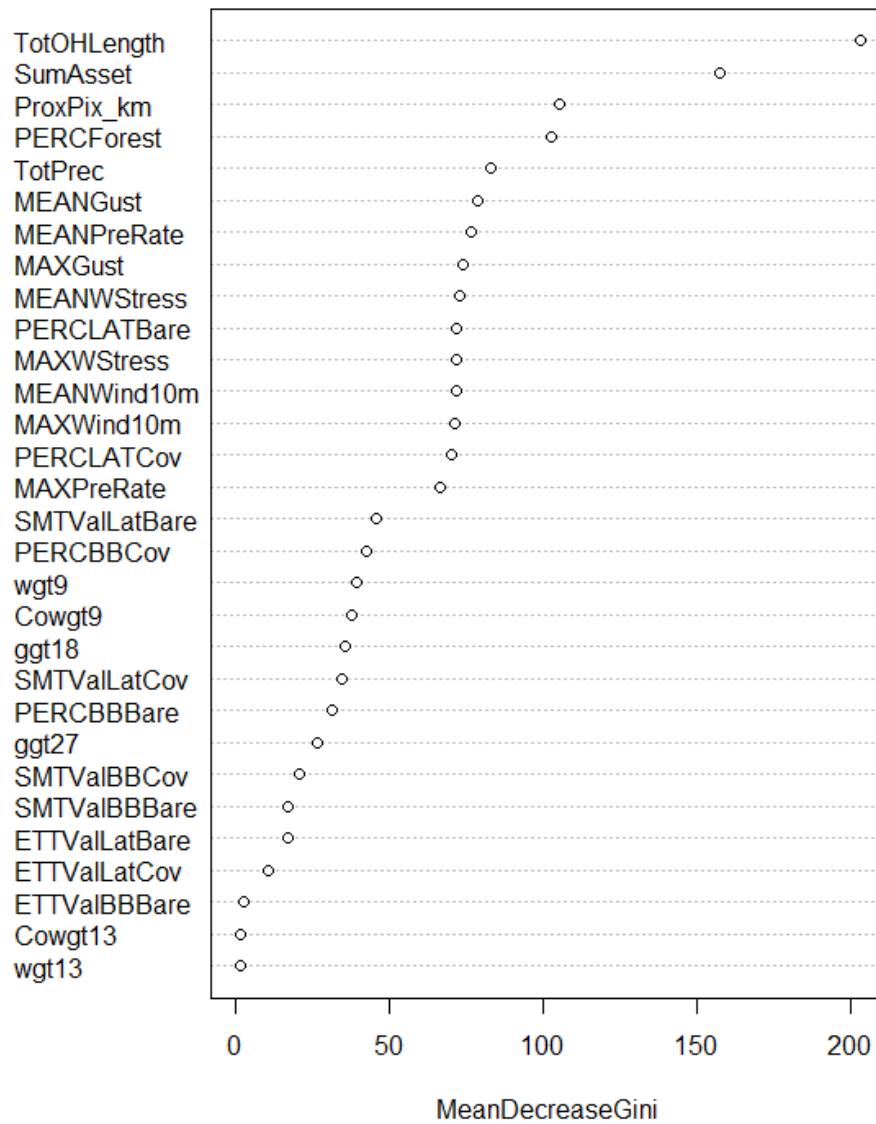
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512 **Figure 5:** Panel plot of all contingency metrics evaluated (dark gray = Model 1, white = Model
 513 4, light gray = overlap of Model 1 and Model 4).

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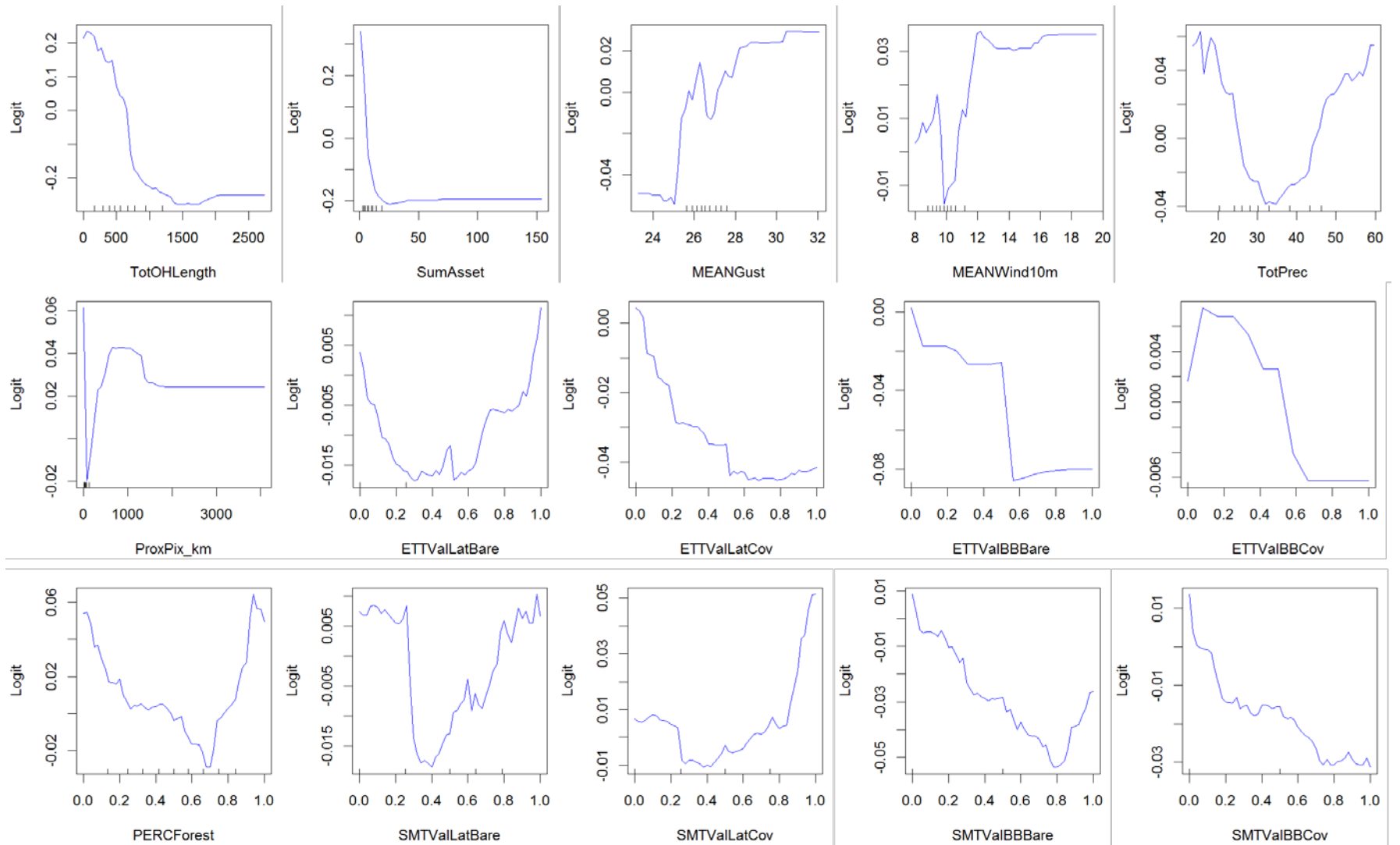
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516 **Figure 6:** Variable importance plot from Model 5.

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521 **Figure 7:** Partial dependence plots from Model 5 for select covariates. See Table I for a list of abbreviations.

522 **Table I:** List of variables included in models. Asterisk (*) denotes maximum and mean variables calculated.

Variable	Abbreviation	Description	Type	Units	Model 1	Model 2	Model 3	Model 4	Model 5
Duration of wind at 10 meters above 9 m/s	wgt9	Weather	Continuous	hr	X	X	X	X	X
Duration of wind at 10 meters above 13 m/s	wgt13	Weather	Continuous	hr	X	X	X	X	X
Duration of wind at 10 meters above 18 m/s	wgt18	Weather	Continuous	hr	X	X	X	X	X
Continuous duration of wind at 10 meters above 9 m/s	cowgt9	Weather	Continuous	hr	X	X	X	X	X
Continuous duration of wind at 10 meters above 13 m/s	cowgt13	Weather	Continuous	hr	X	X	X	X	X
Continuous duration of wind at 10 meters above 18 m/s	cowgt18	Weather	Continuous	hr	X	X	X	X	X
Duration of wind gusts above 18 m/s	ggt18	Weather	Continuous	hr	X	X	X	X	X
Duration of wind gusts above 27 m/s	ggt27	Weather	Continuous	hr	X	X	X	X	X
Duration of wind gusts above 36 m/s	ggt36	Weather	Continuous	hr	X	X	X	X	X
Duration of wind gusts above 45 m/s	ggt45	Weather	Continuous	hr	X	X	X	X	X
Total accumulated precipitation	TotPrec	Weather	Continuous	mm	X	X	X	X	X
Wind stress*	WStress	Weather	Continuous	unitless	X	X	X	X	X
Wind gust*	Gust	Weather	Continuous	m/s	X	X	X	X	X
Wind at 10 m height*	Wind10m	Weather	Continuous	m/s	X	X	X	X	X
Precipitation Rate*	PreRate	Weather	Continuous	mm/hr	X	X	X	X	X
Sum of Assets	SumAsset	Infrastructure	Continuous	count	X	X	X	X	X
Total Length of Overhead Lines	TotOHLLength	Infrastructure	Continuous	m	X	X	X	X	X
Percentage Backbone Bare Lines	PercBBBare	Infrastructure	Continuous	m		X	X	X	X
Percentage Backbone Covered Lines	PercBBCov	Infrastructure	Continuous	m		X	X	X	X
Percentage of Lateral Bare Lines	PercLATBare	Infrastructure	Continuous	m		X	X	X	X
Percentage of Lateral Covered Lines	PercLATCov	Infrastructure	Continuous	m		X	X	X	X
Percent Forested	PERCForest	Land Cover	Continuous	%	X	X	X		
Percentage of Backbone Bare - ETT	ETTValBBBare	Vegetation Management	Continuous	%			X		X

Percentage of Lateral Bare – ETT	ETTValLatBare	Vegetation Management	Continuous	%			X		X
Percentage of Lateral Covered - ETT	ETTValBBCov	Vegetation Management	Continuous	%			X		X
Percentage of Lateral Covered - ETT	ETTValLatCov	Vegetation Management	Continuous	%			X		X
Percentage of Backbone Bare - SMT	SMTValBBBare	Vegetation Management	Continuous	%			X		X
Percentage of Lateral Bare – SMT	SMTValLatBare	Vegetation Management	Continuous	%			X		X
Percentage of Lateral Covered - SMT	SMTValBBCov	Vegetation Management	Continuous	%			X		X
Percentage of Lateral Covered - SMT	SMTValLatCov	Vegetation Management	Continuous	%			X		X
ProxPix per kilometer	ProxPix_km	Hazardous Tree Pixels	Continuous	ProxPix/km				X	X

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526 **Table II:** Counts of which model was the winner using repeated balanced sampling.

Model	AUC	FOR	TPR	TNR	PC	K
Model 1	658	477	477	2475	658	658
Model 2	1530	1486	1486	1833	1530	1530
Model 3	1646	1754	1754	1700	1646	1646
Model 4	3706	3118	3118	2486	3706	3706
Model 5	2460	3165	3165	1506	2460	2460

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530 **Table III:** Relative improvement in the error metrics compared to baseline model (Model 1)

Model	AUC	FOR	TPR	TNR	PC	K
Model 1	-	-	-	-	-	-
Model 2	132.5%	211.5%	211.5%	-25.9%	132.5%	132.5%
Model 3	150.2%	267.7%	267.7%	-31.3%	150.2%	150.2%
Model 4	463.2%	553.7%	553.7%	0.4%	463.2%	463.2%
Model 5	273.9%	563.5%	563.5%	-39.2%	273.9%	273.9%

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533 **Table IV:** Selecting the best model overall using the ranking scheme

Model	Rank(AUC)	Rank(FOR)	Rank(TPR)	Rank(TNR)	Rank(PC)	Rank(K)	Average Rank	Final Rank
Model 1	5	5	5	2	5	5	4.5	5
Model 2	4	4	4	3	4	4	3.8	4
Model 3	3	3	3	4	3	3	3.2	3
Model 4	1	2	2	1	1	1	1.3	1
Model 5	2	1	1	5	2	2	2.2	2